# Summary of active outstanding recommendations

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Year | Audit | Comple  On time | ted | | / Closed  Late | | Outstanding  Not due Overdue | | | | TOTAL |
| 2019/20 | Utilisation of Capabilities | - |  |  | | 1 | - |  |  | 1 | 2 |
| 2019/20 | Critical Incidents |  | 3 |  | | 3 | - |  | - |  | 6 |
| 2019/20 | Business Continuity |  | 1 |  | | 5 | - |  |  | 1 | 7 |
| 2019/20 | Payroll and Expenses |  | 1 | - | |  | - |  | - |  | 1 |
| 2019/20 | Anti-Fraud Controls |  | 1 | - | |  | - |  | - |  | 1 |
| 2020/21 | Cyber Security Essentials |  | 4 |  | | 1 | - |  |  | 1 | 6 |
| 2020/21 | Risk Management (EU Transition) |  | 3 |  | | 1 | - |  | - |  | 4 |
| 2020/21 | Payables and Receivables |  | 1 |  | | 1 | - |  |  | 1 | 3 |
| 2020/21 | Development of the inspection process during COVID-19 |  | 3 | - | |  | - |  | - |  | 3 |
| 2021/22 | Staff Wellbeing | - |  |  | | 1 | - |  | - |  | 1 |
| 2021/22 | Data Security Protection Toolkit |  | 2 | - | |  | - |  |  | 1 | 3 |
| 2021/22 | Annual Governance process |  | 4 | - | |  | - |  | - |  | 4 |
| 2021/22 | Effectiveness of Inspection Process |  | 1 |  | | 2 | - |  |  | 2 | 5 |
| 2021/22 | Budgeting Report |  | 5 |  | | 5 | - |  | - |  | 10 |
| 2021/22 | Equality Diversity & Inclusion |  | 3 | - | |  | - |  | - |  | 3 |
| 2022/23 | Data Security Protection Toolkit2 |  | 2 | - | |  | - |  | - |  | 2 |
| 2022/23 | Record to Report |  | 5 | - | |  | - |  |  | 2 | 7 |
| 2022/23 | Living Organ Donation |  | 5 | - | |  |  | 5 | - |  | 10 |
| 2022/23 | Performance Measurement |  | 2 | - | |  | - |  | - |  | 2 |
| 2022/23 | Records Management3 |  | 1 |  | | 5 |  | 3 |  | 1 | 10 |
| 2023/24 | DSPT3 | - |  | - | |  |  | 1 | - |  | 1 |
| #N/A |  |  | | | | |  |  |  |  |  |
| **Current recommendations** | | **47** | | **30** | | | **9** | | **13** | | **99** |

Exceptional items

# April-23 updates

Three new audits added (Record2Report, Living Organ Donation and Performance Measurement) a total of 17 new recommendations. There are 2 further audits for which the final reports are awaited. These are Records Management and Performance measurement.

# June-23 updates

Reviewed by SMT portfolio on 20/6/23. Living Organ Donation rec 1.6 has been marked as closed as per email from ANH.

# Sept-23 updates

Record2Report had 7 recommendations, one of which was not agreed but is shown as complete as the table only recognises 'Yes or No'.

See cover paper for further details.

# Oct-23 updates

A further 4 recommendations have been closed by GIAA and all relate to the Records Management3 audits.